

**UTILITY PATENT  
APPLICATION TRANSMITTAL**

(Only for new nonprovisional applications  
under 37 CFR 1.53(b))

Attorney  
Docket No.

991406A

Total Pages

First Named Inventor or Application Identifier

Takeshi NISHIUCHI et al.

Express Mail Label No.

PAGE 1 OF 3

Check Box, if applicable ☒ Duplicate

APPLICATION ELEMENTS FOR:  
RARE EARTH METAL-BASED PERMANENT MAGNET,  
AND PROCESS FOR PRODUCING THE SAME

ADDRESS TO: Commissioner for Patents  
BOX PATENT APPLICATIONS  
Washington, D.C. 20231

1. ☒ Fee Transmittal Form (Incorporated within this form)  
(Submit an original and a duplicate for fee processing)
2. ☒ Specification Total Pages [46]
3. ☒ Drawing(s) (35 USC 113) Total Sheets [ 4]
4. ☒ Oath or Declaration Total Pages [ 3]
  - a. ☐ Newly executed (original or copy)
  - b. ☒ Copy from prior application (37 CFR 1.63(d) (for continuation/divisional with Box 17 completed).
    - i. ☐ Deletion of Inventor(s)  
Signed statement attached deleting inventor(s) named in prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
5. ☒ Incorporation by reference (usable if box 4b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ Microfiche Computer Program (Appendix)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Copy
  - b. ☐ Paper Copy (identical to computer copy)
  - c. ☐ Statement Verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

8. ☒ Assignment to Sumitomo Special Metals Co., Ltd., Osaka, Japan [Name, city and country] in the prior Application Serial No. 09/461,006, filed on December 15, 1999 has been recorded at Reel 010465, Frame 0985 on December 15, 1999. **Please publish the assignee data with the application.**
9. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney

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10. ☐ English translation Document (if applicable)
11. ☒ Information Disclosure Statement ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)
14. ☐ Small Entity status is claimed.
15. ☒ Claim for Convention Priority ☐ Certified copy of Priority Document(s)
- a. Priority of Japanese applications nos. 10-375728, filed December 17, 1998; 11-51934, filed February 26, 1999; 11-106027, filed April 14, 1999; 11-181357, filed June 28, 1999; and 11-337841, filed November 29, 1999 is claimed under 35 USC 119. The certified copies were filed in prior application Serial No. 09/461,006. (For Continuing Applications, if applicable).
16. ☐ Other \_\_\_\_\_
17. ☒ If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:
- ☐ Continuation ☒ Division ☐ Continuation-in-part (CIP) of prior application no. 09/461,006
- a. ☒ Please amend the specification by inserting after the title: --This application is a continuation of prior application Serial No. 09/461,006 filed December 15, 1999 -.
- b. ☒ Cancel in this application original claims 1- 8 and 11-13 of the prior application before calculating the filing fee.

FEE TRANSMITTAL	Number Filed	Number Extra	Rate	Basic Fee
The filing fee is calculated below				\$740.00
Total Claims	4 - 20	0	x \$18.00	00.00
Independent Claims	2- 3	0	x \$84.00	00.00
Multiple Dependent Claims			\$280.00	00.00
Basic Filing Fee				\$ 740.00
Reduction by 1/2 for small entity				00.00
Fee for recording enclosed Assignment			\$40.00	00.00
TOTAL				\$ 740.00

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☒ A check in the amount of \$740.00 is enclosed to cover the filing fee.

☐ Please charge our Deposit Account No. **01-2340** in the total amount of \$ to cover the filing fee and the \$ assignment recordation fee. A duplicate of this sheet is attached.

☒ The Commissioner is hereby authorized to charge payment for any additional filing fees required under 37 CFR 1.16 or credit any overpayment to Deposit Account No. **01-2340**. A duplicate of this sheet is attached.

18. CORRESPONDENCE ADDRESS:



23850

PATENT TRADEMARK OFFICE

SUBMITTED BY

Typed or Printed Name Le-Nhung McLeland

Reg. No. 31,541

Signature

Date: October 16, 2001